Femtosecond Studies of Electron Dynamics at Interfaces

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ABSTRACT

A delocalized electron at a metal–dielectric interface interacts with the adlayer and spatially localizes or self-traps on the femtosecond time scale into what is termed a small polaron. The dynamics can be studied by two-photon photoemission. Theoretical and experimental analyses reveal the interaction energy and the lattice vibrational mode that mediates electron localization. These results contribute to a fundamental understanding of electron behavior in weakly bonded solids and can lead to a better understanding of carrier dynamics in many different systems, including organic light-emitting diodes.

Introduction

The study of electronic behavior at interfaces between dissimilar materials constitutes a major research area of fundamental interest and technological significance. For example, hot electrons can induce surface chemical reactions or desorption of adsorbates.1 Recent progress in organic light-emitting devices2 has heightened the demand for a deeper understanding of electronic processes at metal–organic interfaces where electron trapping, scattering, and the potential barrier at the interface can drastically affect carrier transport properties and the performance of devices. To develop a microscopic understanding of the behavior of electrons in complex media, knowledge of the energy levels associated with interfaces and the dynamics of electrons at the interface is needed.

In this Account we will focus on the study of ultrafast electron dynamics at an interface between a metal substrate and a physisorbed dielectric layer. For a more general view of electron dynamics at surfaces, the readers are referred to several review articles.3–7 Our goal is to answer two fundamental questions: First, how do the electronic properties and electronic structure of the over-layer affect the electron dynamics and the interfacial potential that electrons experience? Second, electrons in metals are usually free-electron-like, but electrons in dielectric solids are usually localized. How does the transition of the electronic behavior occur at the interface, and what do we expect the spatial extent of interfacial electrons to be?

The second question is particularly interesting because a long-lasting challenge for both experimentalists and theorists has been the understanding of the polarization interactions of charge carriers with their environment and the resulting polaron formation. Electrons in rigid periodic lattices are delocalized, forming Bloch band states that are free-electron-like. In a deformable lattice, however, the electron–lattice interaction causes an electron to always carry with it a self-induced lattice deformation. The composite particle is called a polaron. “Small” polarons, originally conceived by Landau in 1933,8 involve strong lattice distortion and the wave functions of the carrier are self-trapped to dimensions of a lattice spacing. Their motion between lattice sites can be described as a hopping process,9 a behavior observed for charged carriers in many nonmetallic systems.10,11 Self-trapped carriers participate in various photochemical and radiation-induced processes, including defect formation12 and desorption from surfaces.13 In recent years, there has been a surge of renewed interest in small polarons because of the belief that they play an essential role in the properties of new materials such as cuprates and manganites that exhibit, respectively, high-temperature superconductivity and giant magneto-resistance.14

Structural and transport properties of small polarons in many systems have been extensively studied experimentally by electron paramagnetic resonance, conductivity measurements, optical spectroscopy, and polarization analysis.10 The dynamic process of self-trapping, on the other hand, has only recently been elucidated in real time by utilizing femtosecond lasers. A few measurements have been done by time-resolved transient absorption15 and luminescence16 methods, revealing the precursor state and the cooling process of self-trapped excitons. Most studies to date have been performed on bulk materials, whereas very little is known for interfaces. Electron self-trapping and the transition of the electronic behavior near the metal–dielectric interfaces17 are fascinating problems in which the effects of reduced dimensionality are important.

To address these questions by investigating properties associated with angstrom scale materials in a well-
controlled fashion, we applied ultrahigh-vacuum technology and surface preparation methods to grow ultrathin atomic and molecular layers on single crystal metal substrates. To fully explore the dynamics of electrons at interfaces, experimental techniques must provide both band structure specificity and time resolution. In recent years, an experimental technique has been developed to study surface electron dynamics at interfaces by selectively probing the decay of electrons on the surface having a specific parallel momentum to the surface in real time. These electrons, called image state electrons, are particularly sensitive to the interfacial electronic structure since their wave function maximum is located near the surface. In the following sections, we present two photon photoemission (TPPE) results and discuss the electronic tunneling processes and self-trapping of image electrons at alkane/Ag(111) interfaces.

**Image States on Bare Metal Surfaces.** When an electron is near a surface, e.g., a metal surface, it induces a surface polarization as illustrated in Figure 1A. Classically, this surface polarization can be represented as a positive (image) charge at a distance back from the surface equal to that of the electron from the front. The net result is that the electron feels a Coulomb attraction to the surface-induced polarization or, equivalently, to the image charge. Quantum mechanically, this Coulomb potential, \( V(z) = -e^2/4\zeta \), supports a set of bound energy levels at the surface called image states. These are illustrated in Figure 1B and are the one-dimensional analogues of the three-dimensional Rydberg states of the hydrogen atom. In the lowest state, \( n = 1 \), the expectation value of the electron is only about 3 Å from the surface, and hence, it is very sensitive to changes at the interface from adsorbed layers or other perturbations. The lifetime of image electrons depends mainly on the electron’s ability to couple to the substrate empty band structure. Thus, the decay rate of image electrons depends on the overlap of the electronic wave function with the metal substrate.

**Femtosecond Angle-Resolved Two-Photon Photoemission.** Time and angle resolved TPPE is a technique in which a femtosecond laser excites an electron from occupied states below the Fermi energy \( (E_F) \) of a metal to unoccupied image states (Figure 2A). A probe pulse photoionizes the excited electrons above the vacuum level \( (E_{\text{vac}}) \), and the electron kinetic energy \( (E_{\text{kin}}) \) is measured. The difference between \( E_{\text{kin}} \) and the probe photon energy yields the binding energy of the intermediate image state \( (E_{\text{in}}) \). The decay of the photoelectron signal with increasing time delay between the pump and the probe pulse provides a direct measurement of the intermediate-state lifetime. With the use of ultrashort pulses, dynamics on 10s of femtoseconds can be measured with great sensitivity. Any change in the energy of the intermediate state with time is measured as well.

The band structure at the interface can be determined by measuring the angle dependence of the photoelectron...
kinetic energy (Figure 2B). Photoemission at well-ordered interfaces preserves the electron momentum parallel to the surface \( \frac{p}{k} \) which can be experimentally determined by measuring the angle of emission since \( k = \frac{E_{\text{kin}}}{p^2} \) with \( m_e \) being the free-electron mass and \( \theta \) the emission angle. \(^{19}\) For delocalized electrons behaving like free particles parallel to the interface, the angle-resolved TPPE data will exhibit a parabolically dispersive band characterized by an effective mass \( m^* \) close to a free-electron value \( m_e \):

\[
E = E_0 + E_{\|} = E_0 + \hbar^2 k^2 / 2m^* \tag{1}
\]

where \( E_0 \) is the onset of the interfacial band at \( k_0 = 0 \). On the other hand, spatially localized electrons, which can be roughly thought of as having a very large \( m^* \), result in nondispersive flat bands because a localized state is a superposition of many \( k \) plane waves. These two limiting cases are illustrated in Figure 2B.

**Electron Tunneling at Metal—Alkane Interfaces**

The image state series persists and becomes less bound with increasing thickness of dielectric layers on Ag(111)\(^5\) as shown in the TPPE spectra taken at 0° emission (Figure 3A).\(^{20}\) The change in binding energy correlates to a layer-by-layer evolution of the surface potential (Figure 3B).

Low-energy electron diffraction studies have shown that n-alkanes grow ordered layers on the Ag(111) surface with the carbon—carbon bonds parallel to the surface.\(^{21}\) The complex electronic structure of the alkane adlayer outside the metal substrate can be approximated with the dielectric continuum model.\(^{22}\) The important parameters used in this model are the layer thickness, \( d \), static dielectric constant, \( \epsilon \), and the layer electron affinity, \( V_0 \), or the conduction-band minimum. As illustrated in Figure 4A, the potential outside the adlayer in the vacuum region is given by

\[
V_{\text{out}}(z,d) = -\frac{e^2}{4(z-d)} + \frac{(1-\beta^2)e^2}{4\beta} \sum_{j=1}^{\infty} \frac{(-\beta)^j}{z-d+jd}, \quad z > d
\]

where \( \beta = (\epsilon - 1)/(\epsilon + 1) \). The first term is the attractive image potential induced by an excess electron outside an infinite dielectric. The summation describes the influence of the metal substrate. Inside the dielectric, the potential is given as

\[
V_{\text{in}}(z) = -\frac{e^2}{4\epsilon z} + V_0, \quad 0 < z < d
\]

where the first term is the metal image potential screened by the presence of the dielectric layer. The appearance of the electron affinity level, \( V_0 \), as an additive constant to the potential inside the dielectric provides the simplest
description of the overlayer band structure. Using parameters, $\epsilon = 2.0$ and $V_0 = 0.2$ eV, that are reasonably close to those of bulk n-octane, the potential for the bilayer case is shown in Figure 4A. Solving the Schrödinger equation gives $n = 1$ binding energies that are in good agreement with the experiment for as many as 10 layers of n-octane (Figure 3B). From the calculated electron probability density (Figure 4B), we see that the electrons tend to be excluded from the layer due to the potential barrier set up by the repulsive electron affinity of the alkane layer. As the layer thickness increases, the coupling to the metal decreases and electrons become less bound.

A more dramatic effect of the layer potential is manifest in the lifetime of image state electrons, particularly the $n = 1$ lifetimes that are 32, 155, 1580, and 17 600 fs for a bare Ag(111) surface, monolayer, bilayer, and trilayer of n-heptane on Ag(111), respectively. The approximately exponential increase in the $n = 1$ lifetime is the result of electron tunneling through the potential barrier of the alkane layer. As discussed in the Introduction, the lifetime of the image state electron is approximately inversely proportional to the image electron density inside the metal substrate. For the simple case of a square potential barrier, an incident wave function toward the barrier will result in a tunneling probability that is proportional to $e^{-2\alpha d}$, where $\alpha$ is the imaginary part of the wavevector inside the barrier and $d$ is the barrier thickness. For the interfacial image potential as shown in Figure 4A, a model calculation that utilized the WKB approximation to calculate the tunneling probability well reproduced the measured lifetimes. The lifetime of the higher image states ($n > 2$) also exhibits an exponential increase as a function of alkane layer thickness on Ag(111).

In contrast to the results of n-alkanes, systems such as neopentane or Xe exhibit very different behavior because these materials possess attractive bulk electron affinities which can provide an interfacial potential well that electrons tend to be drawn into. In fact, the TPPE studies of multilayer Xe/Ag(111) indicate quantum confinement effects in the Xe slab. As the Xe layer thickness increases, the $n = 2, 3$ image states of the bare metal evolve into quantum well states of the layer and become more bound just like a particle in a box. The drastically different results for n-alkane and Xe overlayers clearly show that the dynamics of excess electrons are largely determined by the electron affinity of the adsorbate.

**Electron Localization at Metal—Dielectric Interfaces**

While the image states on bare metal surfaces are localized perpendicular to the surface because of the Coulomb attraction for the image charge, parallel to the surface the electrons are delocalized (Figure 2B, eq 1 with $E_0 = E_e$). On many metal surfaces the effective mass is that of a free electron, i.e., $m^* \approx m_e$. The lateral motion of the electron can be drastically altered in the presence of overlayers. In angle-resolved TPPE studies of various alkane/Ag(111) interfaces, besides the parabolically dispersive feature (see Figure 2B, left) normally expected for the delocalized $n = 1$ image electrons, a nondispersive peak (see Figure 2B, right) was observed and attributed to localized electronic states. These localized features were seen for cyclohexane and various straight-chain alkanes, but not for neopentane. This observation was correlated with excess electron mobility in nonpolar liquids.

The time scale of the localization process and the mechanism has been further elucidated by a femtosecond time-resolved study. Figure 5 shows angle-resolved TPPE spectra for bilayer n-heptane at 120 K taken at two different pump–probe delays. At zero delay time a dispersive feature with $m^* = 1.2 m_e$ appears (Figure 5A), whereas at a 1670-fs delay this feature is mostly gone and a nondispersive feature dominates the spectra (Figure 5B). These data clearly reveal that the $n = 1$ delocalized state appears right after pump pulse excitation, and there is a time delay in the formation of the localized state. Similar behavior is also found on a monolayer and a trilayer. A very interesting aspect of the data is that the localized peak and the delocalized peak are very close in energy at $k_B = 0$ with a difference $\Delta E_0 \leq 10$ meV. This energy difference is independent of layer thickness and composition (chain length).

The dynamics of these two states were measured at various angles. The localized states exhibit no angle dependence in their 360-fs rise and 1600-fs decay dynamics (Figure 6A), showing that all of the constituting $k_L$ plane wave components have the same dynamics, as expected for photoemission from a single state. In contrast, the rise and decay of the $n = 1$ delocalized states have strong angle dependence (Figure 6B). We note that the decay times of the delocalized states are of the same order as the rise time of the localized state. This is the case even at different temperatures. Furthermore, both rates become faster as
the temperature is lowered from 120 to 50 K. Such a unique temperature dependence is opposite to the behavior found on other states that do not show localization, such as the bilayer, \( n = 2 \), state\(^{20,28} \) and bare surface, \( n = 1 \), state\(^{20,29} \). These observations indicate that the delocalized electron primarily decays into the localized state, and the localization time not only depends on its initial parallel momentum but also exhibits a non-Arrhenius temperature dependence. We attribute these results to the self-trapping process responsible for small polaron formation.

**Small Polaron Formation.** Qualitatively, the degree of localization of carriers in a deformable lattice is determined by a balance of two competing tendencies\(^{20} \) as illustrated in Figure 7. Here, curves \( V_f(k_0, Q) \) and \( V_s(Q) \) are associated, respectively, with the delocalized states and the self-trapped state. \( Q \) is the lattice distortion coordinate. Each curve in the \( V_f \) manifold represents a different \( k_0 \) state with a band energy \( E_i \) (\( E_i = 0 \) at \( k_0 = 0 \), see eq 1). Let us first assume that an electron is localized at a single lattice site without inducing lattice distortion, i.e., along the \( Q = 0 \) axis. The electron's energy will be at around the band center (point C) because a spatially localized wave packet contains all of the Bloch waves in the band due to the space-momentum uncertainty principle. This localized electron has a tendency to become delocalized by lowering its kinetic energy to reside at the bottom of the band (point F). The cost in energy to keep the electron localized can be estimated from the half-width of the band, \( B \). On the other hand, the localized electron tends to become stabilized through small polaron formation because it can “dig” itself an attractive potential well by polarizing and displacing the atoms surrounding it (point S, \( Q = 0 \)). If the lattice relaxation energy, \( E_{\text{rel}} \), is large enough such that self-trapping energy \( E_s = E_{\text{rel}} - B \) is positive, the self-trapped state will be energetically favorable and a small polaron will form.

The balance between these opposing tendencies depends on the dimensionality of the lattice and the nature and the strength of its coupling with the electron. For a short-range electron–lattice coupling, which is the case for electrons in nonpolar alkane solids, theoretical analysis has shown\(^{31-33} \) that localization is energetically favored over delocalization in 1-D, and delocalization is favored in 3-D. In a 2-D system, however, these two tendencies are nearly equal. Thus, two-dimensionality is often called the marginal case\(^{33,34} \) and results in a small self-trapping energy, \( E_s \).

Our data are well-explained by the above 2-D small polaron formation model. The lattice and the molecules that comprise it respond to the presence of an excess electron on the time scale of the lattice and molecular vibrational periods. This is manifest in the time delay between the population of the image state (Figure 5A) and the formation of the localized self-trapped electrons (Figure 5B). The lifetime of the self-trapped electron is \( k_0 \)-independent (Figure 6A), but is dramatically lengthened with increasing alkane layer thickness. The lifetimes discussed in the previous section on electron tunneling refer to the decay time of the localized state. The tunneling model explains the decay mechanism of the localized state and suggests that the self-trapped electron is localized at the layer–vacuum junction (Figure 4B) rather than at the layer–metal junction. The self-trapping process is associated with interactions between the electron and the topmost plane of the alkane molecules. The small self-trapping energy, as measured by \( \Delta E_s \leq 10 \) meV, indicates the existence of a delicate balance between the delocalizing and localizing tendencies in this 2-D system.

**Self-Trapping Dynamics.** The small polaron model also predicts a momentum-dependent self-trapping time. inspecting the potential surfaces of the delocalized and self-trapped states (Figure 7), one sees that an energetically favorable pathway to get from \( V_f \) to \( V_s \) without first reaching the point C involves thermal activation of the lattice to a configuration in which the energy of the system is the same on both sides, i.e., the crossing point of \( V_f \) and \( V_s \). The energy difference between the crossing point and \( V_f(k_0) \) at \( Q = 0 \) represents the potential barrier or the activation energy (\( E_a \)) for self-trapping. The self-trapping

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**FIGURE 6.** Ultrafast time-resolved TPPE traces for (A) localized and (B) delocalized state for bilayer \( n \)-heptane on Ag(111) at various angles and 120 K.\(^{17} \) The extracted rise time and decay time for the delocalized state at 6° are 0.07 Å\(^{-1} \), 10 meV, and 5.5 fs; for the localized state they are 5.10 Å\(^{-1} \), 10 meV, and 5.30 fs. The spikes near time zero in (A) for data at high angles come from short-lived electrons on small patches of monolayer.
The electron self-trapping process involves the transfer of an electron from an initial state to a self-trapped state, with the energy difference determining the rate of self-trapping. This process is governed by the rate of barrier crossing and the rate of the electron-transfer reaction. The energy barrier, which is determined by the matrix element, decreases with increasing temperature. The rate of self-trapping is given by the equation:

\[ k_{st} = A e^{-\frac{(\Delta \epsilon + E_{ref})^2}{4E_{ref}k_BT}} \]  

where \( (\Delta \epsilon + E_{ref})^2 / 4E_{ref} \) is the barrier height. Thus, the rate initially increases with temperature, reaching a maximum when the exothermicity is equal to the reorganization energy, \(-\Delta \epsilon = E_{ref}\). This is referred to as the Marcus inverted region.

The prefactor \( A \) in eq 4 depends on the extent of the mixing between the initial and final states at the crossing point, which is determined by the matrix element \( H_{fs} \) of electronic coupling. If the nuclear motion is slow such that the nuclear dynamics can be neglected, there is plenty of time spent in the curve-crossing region for electron self-trapping. The reactions can be neglected, there is plenty of time spent in the nuclei move very slowly such that the nuclear dynamical motions are isomorphic. In this analogy, a self-trapping process that involves a single quantum-mechanical treatment. We made the simplest assumption that self-trapping involves a single quantum-mechanical intramolecular mode with a frequency \( \omega_q \) and a reorganization energy \( E_{eq} \) and all other intermolecular modes are classical modes with reorganization energy \( E_{rc} \).

Without knowing the magnitude of the electronic coupling between the delocalized and self-trapped states, it is hard to determine whether the process is adiabatic or non-adiabatic. Therefore, we analyzed the data in terms of a recently developed approach in which the path integral technique is utilized to sum over all perturbation orders in electronic coupling. This formalism is valid from the

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**FIGURE 7.** Configuration coordinate diagram for self-trapping of an electron. The activation energy of self-trapping \( (E_a) \) for \( k_f \) is depicted explicitly. Red arrows indicate the classical barrier crossing from the delocalized to the localized state. Schematic illustration of the electron wave function at the \( n \)-heptane/Ag(111) interface is shown at the bottom. Left: a delocalized state with \( k_f = 0.22 \text{ Å}^{-1} \). Right: a localized state which is assumed to be a 2-D wave packet composed of all \( k_f \) in the first surface Brillouin zone of the \( n \)-heptane lattice.
Electron Dynamics at Interfaces  Ge et al.

nonadiabatic to the adiabatic regimes with its first order term coinciding exactly with the exact quantum mechanical expression for the nonadiabatic rate.

Figure 8A shows the result of our analysis. The parameters $E_{rc}$ and $E_{eq}$ extracted from the fit are consistent with the interaction energies of lattice and molecular polarons in organic molecular solids. The energy of $h\omega_{1q}$ suggests that self-trapping involves the methylene rocking mode of n-heptane molecules. Note that the first-order term in the path integral accounts for nearly 96% of the fit in Figure 8A. Therefore, the self-trapping process at 120 K can be well-described by a nonadiabatic theory. Figure 8B shows that the non-Arrhenius temperature dependence of the self-trapping rate at $-\Delta E = 0.14$ eV is reproduced with no additional adjustment of parameters. The first-order term accounts for only 83% of the rate for the data point at 50 K.

Conclusions

In this Account, we have discussed the application of time- and angle-resolved TPPE in the study of excess electron dynamics at metal–dielectric interfaces on the femtosecond time scale. First, we monitored the layer-by-layer dynamics and energies of the interfacial excited states. The formation of a tunneling barrier or potential well at the interface due to the presence of the adlayer plays a very important role in determining the spatial distribution of the interfacial electrons as well as the electronic coupling across the interface.

We have also shown that an initially delocalized electron at the metal–alkane interface undergoes 2-D self-trapping within a few hundred femtoseconds. Our analysis shows that self-trapping involves inter- and intramolecular vibrational modes of the overlayer and the non-Arrhenius temperature dependence is a result of a strong quantum contribution from the intramolecular modes. These results for a model interface contribute to the fundamental understanding of electron behavior at the interface between metals and dielectric solids. For example, similar localized states may exist at metal–polymer interfaces important in organic LEDs and contribute to their electronic properties.

The ability of time-resolved TPPE to completely determine the time evolution of the quantum state of excited electrons at interfaces provides detailed experimental information that is hardly accessible by other techniques. In addition to picking out the energy and parallel momentum of the state by measuring the kinetic energy and emission angle of photoelectrons, one can also spin-resolve the electrons, determine the phase relaxation by an interferometric scheme, and study the motion of electron wave packets by coherent excitation. The versatile capabilities of this technique will undoubtedly open avenues in the understanding of electronic behavior in a wide variety of systems.

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References


FIGURE 8. (A) A logarithmic plot of the self-trapping rate of the delocalized state versus exothermicity for a bilayer at 120 K. The 95% confidence limit for each data point is indicated by the vertical line. The solid line was computed by quantum electron transfer theory with the parameters listed in the figure. (B) Temperature dependence of self-trapping rates for a bilayer at 18° $k_B = 0.21$ Å$^{-1}$. The solid line was computed with $-\Delta E = 0.14$ eV and the same parameters as in (A).


(28) Interestingly, the decay rate of the n = 2 state for a bilayer n-heptane not only decreases with decreasing temperature but also exhibits a linear dependence on E. The mechanism for this k² dependence is currently under investigation.


(35) In fact, this picture is supported by the wavelength dependence of the rise time of the localized state. With a 350-nm pump600-nm probe, a wide range of k(0–0.4 Å⁻¹) states can be populated, and they can all decay into the localized state. This results in a rise time of 360 fs (Figure 6A). Selectively populating a narrower range of high k states with a faster self-trapping rate should reduce the rise time. This prediction is borne out by the results obtained for a 335 nm pump670-nm probe by which only states with k(0.15–0.17 Å⁻¹) can be populated, resulting in a faster rise by a factor of 2.


